



**VILLAGE OF HASTINGS-ON-HUDSON**

Municipal Building  
7 Maple Avenue  
Hastings-on-Hudson, New York 10706

February 19, 2020

Grants Administration  
DASNY  
515 Broadway  
Albany, NY 12207

*SUBJECT: State and Municipal Facilities Program ("SAM")*  
Restoration and Improvements to Hillside Woods and Parks  
Project ID: #21565

Dear Grants Administration:

Enclosed please find the following documents in connection with the SAM Grant awarded to our organization:

1. Completed Grantee Certification signed by two (2) authorized officers;
2. Completed Project Certification signed by an authorized officer;
3. Completed and signed W-9 with correct Legal Organization name and Tax ID Number filled in;
4. Completed Grantee Questionnaire signed by two (2) authorized officers and notarized;
5. Evidence of Site Control;
6. Financial documentation; and
7. SEQRA documentation

If any further information is needed or if you have any questions, please give Mary Beth Murphy, Village Manager a call at (914) 478-3420.

Very truly yours,

Mary Beth Murphy  
Village Manager

Enclosures

*I t c p v' R t q i t c o u*

**Grantee Questionnaire**

**THIS QUESTIONNAIRE MUST BE COMPLETED IN FULL BEFORE DASNY WILL  
PROCESS YOUR GRANT APPLICATION**

If you have previously submitted a Grantee Questionnaire in the past six (6) months and there are no changes since your last submission, please attach a signed and notarized Affidavit of No Change Form along with your most recent copy of the previously submitted Grantee Questionnaire. The Form is attached to the back of this document.

**SECTION I: GENERAL INFORMATION**

1. GRANTEE (LEGALLY INC. NAME): \_\_\_\_\_
2. FEDERAL EMPLOYER ID NO. (FEIN): \_\_\_\_\_
3. D/B/A – DOING BUSINESS AS (IF APPLICABLE): \_\_\_\_\_  
COUNTY FILED: \_\_\_\_\_
4. WEBSITE ADDRESS (IF APPLICABLE): \_\_\_\_\_
5. BUSINESS E-MAIL ADDRESS: \_\_\_\_\_
6. PRINCIPAL PLACE OF BUSINESS ADDRESS: \_\_\_\_\_
7. TELEPHONE NUMBER: \_\_\_\_\_ 7. FAX NUMBER: \_\_\_\_\_
8. DOES THE GRANTEE USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, OR D/B/A OTHER THAN WHAT IS LISTED IN QUESTIONS 1-4 ABOVE?  

☐ YES ☐ NO

If **yes**, provide the name(s), FEIN(s) and d/b/a(s) and the address for each such entity on a separate piece of paper and attach to this questionnaire.

9. AUTHORIZED CONTACT:  
NAME: \_\_\_\_\_  
TITLE: \_\_\_\_\_  
TELEPHONE NUMBER: \_\_\_\_\_ FAX NUMBER: \_\_\_\_\_  
E-MAIL: \_\_\_\_\_
10. HOW MANY YEARS HAS THIS GRANTEE BEEN IN BUSINESS? \_\_\_\_\_

**Grantee FEIN:** \_\_\_\_\_

**11. TYPE OF BUSINESS (PLEASE CHECK APPROPRIATE BOX):**

- a) ☐ BUSINESS CORPORATION
- b) ☐ PUBLIC RESEARCH INSTITUTION
- c) ☐ ACADEMIC RESEARCH INSTITUTION
- d) ☐ NOT-FOR-PROFIT RESEARCH INSTITUTION
- e) ☐ NOT-FOR-PROFIT CORPORATION CREATED ON BEHALF OF  
A PUBLIC, NOT-FOR-PROFIT PRIVATE OR ACADEMIC RESEARCH INSTITUTION
- f) ☐ NOT-FOR-PROFIT CORPORATION CHARITIES REGISTRATION NUMBER: \_\_\_\_\_
- g) ☐ LOCAL DEVELOPMENT CORPORATION OR INDUSTRIAL DEVELOPMENT AGENCY
- h) ☐ MUNICIPALITY
- i) ☐ UNIVERSITY/EDUCATIONAL ORGANIZATION
- j) ☐ OTHER – SPECIFY

**12. PLEASE INDICATE WHETHER YOU BELIEVE THAT ANY OF THE INFORMATION SUPPLIED HEREIN IS  
CONFIDENTIAL AND SHOULD BE EXEMPT FROM DISCLOSURE UNDER THE FREEDOM OF INFORMATION LAW:  
☐ Yes ☐ No**

IF YOU CHECKED "YES" YOU MUST IDENTIFY THE INFORMATION YOU FEEL IS CONFIDENTIAL BY  
PLACING AN ASTERISK IN FRONT OF THE APPROPRIATE QUESTION NUMBER(S) AND YOU ARE  
REQUESTED TO ATTACH AN ADDITIONAL SHEET(S) UPON WHICH THE BASIS FOR SUCH CLAIM(S) IS  
EXPLAINED.

YOU MAY ALSO REQUEST THAT THE CONFIDENTIAL DOCUMENTATION BE REVIEWED AND RETURNED  
TO YOU AND NOT RETAINED BY THE AUTHORITY. PLEASE BE ADVISED, HOWEVER, THAT THE  
AUTHORITY MUST COMPLY IN ALL RESPECTS WITH THE FREEDOM OF INFORMATION LAW.

## **SECTION II: GRANTEE CERTIFICATION AS TO PUBLIC PURPOSE**

### **A. DEFINITIONS**

AS USED HEREIN IN THIS *GRANT PROGRAMS* GRANTEE QUESTIONNAIRE:

1. "AFFILIATE" MEANS ANY PERSON OR ENTITY THAT DIRECTLY OR INDIRECTLY CONTROLS OR IS CONTROLLED BY OR IS UNDER COMMON CONTROL OR OWNERSHIP WITH THE GRANTEE.
2. "GRANTEE" MEANS THE PARTY OR PARTIES RECEIVING FUNDS PURSUANT TO THE TERMS OF A GRANT DISBURSEMENT AGREEMENT ("GDA") TO BE ENTERED INTO BETWEEN THE GRANTEE AND DASNY OR THEIR EMPLOYEES AND AFFILIATES.
3. "GRANT-FUNDED PROJECT" MEANS THE WORK THAT WILL BE FULLY OR PARTIALLY PAID FOR WITH THE PROCEEDS OF THE GRANT, AS DESCRIBED IN THE PRELIMINARY APPLICATION, PROJECT INFORMATION SHEET AND THE GDA, AND INCLUDES, BUT IS NOT LIMITED TO, ARCHITECTURAL, ENGINEERING AND OTHER PRELIMINARY PLANNING COSTS, CONSTRUCTION, FURNISHINGS AND EQUIPMENT.
4. "RELATED PARTY" MEANS: (I) THE PARTY'S SPOUSE, (II) NATURAL OR ADOPTED DESCENDANTS OR STEP-CHILDREN OF THE PARTY OR OF THE SPOUSE, (III) ANY NATURAL OR ADOPTED PARENT OR STEP-PARENT OR ANY NATURAL, ADOPTED, OR STEP-SIBLING OF THE PARTY OR OF THE SPOUSE, (IV) THE SON-IN-LAW, DAUGHTER-IN-LAW, BROTHER-IN-LAW, SISTER-IN-LAW, FATHER-IN-LAW OR MOTHER-IN-LAW OF THE PARTY OR OF THE SPOUSE, (V) ANY PERSON SHARING THE HOME OF ANY OF THE PARTY OR OF THE SPOUSE, (VI) ANY PERSON WHO HAS BEEN A STAFF MEMBER, EMPLOYEE, DIRECTOR, OFFICER OR AGENT OF THE PARTY WITHIN TWO (2) YEARS OF THE DATE OF THIS GRANTEE QUESTIONNAIRE, AND (VII) AFFILIATES OR SUBCONTRACTORS OF THE PARTY.
5. "SPONSORING MEMBER(S)" MEANS THE ASSEMBLY MEMBER OR STATE SENATOR WHO SPONSORED, ARRANGED FOR AND/OR PROCURED THE GRANT. IN ADDITION, "SPONSORING MEMBER(S)" SHALL INCLUDE THE GOVERNOR WHEN APPROPRIATE AS LISTED HEREIN.

### **B. GRANT AWARD**

1. HAS THE GRANTEE OR ANY OF THE GRANTEE'S RELATED PARTIES PAID ANY THIRD PARTY OR AGENT, EITHER DIRECTLY OR INDIRECTLY, TO AID IN THE SECURING OF THIS GRANT? ☐ YES ☐ NO  
IF ANSWER IS "YES", PLEASE EXPLAIN: \_\_\_\_\_
2. HAS THE GRANTEE OR ANY OF THE GRANTEE'S RELATED PARTIES AGREED TO SELECT SPECIFIC CONSULTANTS, CONTRACTORS, SUPPLIERS OR VENDORS TO PROVIDE GOODS OR SERVICES IN CONNECTION WITH THE GRANT-FUNDED PROJECT AS A CONDITION OF RECEIVING THE GRANT? ☐ YES ☐ NO  
IF ANSWER IS "YES", PLEASE EXPLAIN: \_\_\_\_\_
3. WILL ALL CONSULTANTS, CONTRACTORS, SUPPLIERS AND VENDORS SELECTED TO PROVIDE GOODS OR SERVICES IN CONNECTION WITH THE GRANT FUNDED PROJECT BE CHOSEN IN ACCORDANCE WITH THE GRANTEE'S CONFLICT OF INTERESTS POLICY, OR IF CONSULTANTS, SUPPLIERS AND VENDORS RETAINED IN CONNECTION WITH THE GRANT FUNDED PROJECT HAVE ALREADY BEEN SELECTED, WAS THE SELECTION UNDERTAKEN IN ACCORDANCE WITH THE GRANTEE'S CONFLICT OF INTEREST POLICY? ☐ YES ☐ NO

IF GRANTEE'S GOVERNING BOARD HAS NOT ADOPTED A CONFLICT OF INTERESTS POLICY, PLEASE STATE NONE. \_\_\_\_\_.

IF ANSWER IS "NO", PLEASE EXPLAIN: \_\_\_\_\_

4. DOES THE SPONSORING MEMBER(S) OR ANY RELATED PARTIES TO SPONSORING MEMBER(S) HAVE ANY FINANCIAL INTEREST, DIRECT OR INDIRECT, IN THE GRANTEE OR IN ANY OF THE GRANTEE'S EQUITY OWNERS, OR WILL THE SPONSORING MEMBERS OR ANY RELATED PARTIES TO SPONSORING MEMBERS RECEIVE ANY FINANCIAL BENEFIT, EITHER DIRECTLY OR INDIRECTLY, FROM THE PROJECT FUNDED IN WHOLE OR IN PART WITH GRANT PROCEEDS? ☐ YES ☐ NO

IF THE ANSWER IS "YES", PLEASE PROVIDE DETAILS IN SEPARATE APPENDIX ATTACHED TO THIS CERTIFICATION.

### **SECTION III: DUE DILIGENCE QUESTIONS**

1. DOES THE GRANTEE POSSESS ALL CERTIFICATIONS, LICENSES, PERMITS, APPROVALS, OR OTHER AUTHORIZATIONS ISSUED BY ANY LOCAL, STATE, OR FEDERAL GOVERNMENTAL ENTITY IN CONNECTION WITH THE PROJECT, GRANTEE'S SERVICES, OPERATIONS, BUSINESS, OR ABILITY TO CONDUCT ITS ACTIVITIES? PLEASE NOTE THIS DOES NOT INCLUDE CONSTRUCTION RELATED ACTIVITIES SUCH AS BUILDING PERMITS AND CERTIFICATES OF OCCUPANCY.

☐ YES ☐ NO

IF THE ANSWER IS "NO", PLEASE SET FORTH ON A SEPARATE DOCUMENT ATTACHED HERETO THE CERTIFICATIONS, LICENSES, PERMITS, APPROVALS, OR OTHER AUTHORIZATIONS THAT ARE REQUIRED AND THE DATE(S) THAT SUCH CERTIFICATIONS, LICENSES, PERMITS, APPROVALS, OR OTHER AUTHORIZATION IS EXPECTED.

2. ON A SEPARATE DOCUMENT ATTACHED HERETO, LIST ALL CONTRACTS THE GRANTEE HAS ENTERED INTO WITH ANY NEW YORK STATE AGENCY, PUBLIC AUTHORITY, OR OTHER QUASI-STATE ENTITY, IN THE PAST FIVE (5) YEARS. PLEASE LIST THE NAME, ADDRESS AND CONTACT PERSON FOR THE CONTRACTING ENTITY, AS WELL AS THE CONTRACT EFFECTIVE DATES. ALSO PROVIDE STATE CONTRACT IDENTIFICATION NUMBER, IF KNOWN. N/A

3. WITHIN THE PAST FIVE (5) YEARS, HAS THE GRANTEE, ANY PRINCIPAL, OWNER, DIRECTOR, OFFICER, MAJOR STOCKHOLDER (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), RELATED COMPANY OR AFFILIATE BEEN THE SUBJECT OF ANY OF THE FOLLOWING:

(a) A JUDGMENT OR CONVICTION FOR ANY BUSINESS RELATED CONDUCT CONSTITUTING A CRIME UNDER FEDERAL, STATE OR LOCAL GOVERNMENT LAW? ☐ YES ☐ NO

(b) BEEN SUSPENDED, DEBARRED OR TERMINATED BY A LOCAL, STATE OR FEDERAL AUTHORITY IN CONNECTION WITH A CONTRACT OR CONTRACTING PROCESS? ☐ YES ☐ NO

(c) BEEN DENIED AN AWARD OF A LOCAL, STATE OR FEDERAL GOVERNMENT CONTRACT, HAD A CONTRACT SUSPENDED OR HAD A CONTRACT TERMINATED FOR NON-RESPONSIBILITY? ☐ YES ☐ NO

(d) HAD A LOCAL, STATE, OR FEDERAL GOVERNMENT CONTRACT SUSPENDED OR TERMINATED FOR CAUSE PRIOR TO THE COMPLETION OF THE TERM OF THE CONTRACT? ☐ YES ☐ NO

(e) A CRIMINAL INVESTIGATION OR INDICTMENT FOR ANY BUSINESS RELATED CONDUCT CONSTITUTING A CRIME UNDER FEDERAL, STATE OR LOCAL GOVERNMENT? ☐ YES ☐ NO

(f) AN INVESTIGATION FOR A CIVIL VIOLATION FOR ANY BUSINESS RELATED CONDUCT BY ANY FEDERAL, STATE OR LOCAL AGENCY? ☐ YES ☐ NO

- (g) AN UNSATISFIED JUDGMENT, INJUNCTION OR LIEN FOR ANY BUSINESS RELATED CONDUCT OBTAINED BY ANY FEDERAL STATE OR LOCAL GOVERNMENT AGENCY INCLUDING, BUT NOT LIMITED TO, JUDGMENTS BASED ON TAXES OWED AND FINES AND PENALTIES ASSESSED BY ANY FEDERAL, STATE OR LOCAL GOVERNMENT AGENCY? ☐ YES ☐ NO
- (h) A GRANT OF IMMUNITY FOR ANY BUSINESS-RELATED CONDUCT CONSTITUTING A CRIME UNDER FEDERAL, STATE OR LOCAL LAW INCLUDING, BUT NOT LIMITED TO ANY CRIME RELATED TO TRUTHFULNESS AND/OR BUSINESS CONDUCT? ☐ YES ☐ NO
- (i) AN ADMINISTRATIVE PROCEEDING OR CIVIL ACTION SEEKING SPECIFIC PERFORMANCE OR RESTITUTION IN CONNECTION WITH ANY FEDERAL, STATE OR LOCAL CONTRACT OR LEASE? ☐ YES ☐ NO
- (j) THE WITHDRAWAL, TERMINATION OR SUSPENSION OF ANY GRANT OR OTHER FINANCIAL SUPPORT BY ANY FEDERAL, STATE, OR LOCAL AGENCY, ORGANIZATION OR FOUNDATION? ☐ YES ☐ NO
- (k) A SUSPENSION OR REVOCATION OF ANY BUSINESS OR PROFESSIONAL LICENSE HELD BY THE GRANTEE, A CURRENT OR FORMER PRINCIPAL, DIRECTOR, OR OFFICER OF THE GRANTEE, OR ANY MEMBER OF THE ANY CURRENT OR FORMER STAFF OF THE GRANTEE? ☐ YES ☐ NO
- (l) A SANCTION IMPOSED AS A RESULT OF JUDICIAL OR ADMINISTRATIVE PROCEEDINGS RELATIVE TO ANY BUSINESS OR PROFESSIONAL LICENSE? ☐ YES ☐ NO
- (m) A CONSENT ORDER WITH THE NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION, OR A FEDERAL, STATE OR LOCAL GOVERNMENT ENFORCEMENT DETERMINATION INVOLVING A VIOLATION OF FEDERAL, STATE OR LOCAL LAWS? YES NO
- (n) A CITATION, NOTICE, VIOLATION ORDER, PENDING ADMINISTRATIVE HEARING OR PROCEEDING OR DETERMINATION FOR VIOLATIONS OF:
- FEDERAL, STATE OR LOCAL HEALTH LAWS, RULES OR REGULATIONS ☐ YES ☐ NO
  - UNEMPLOYMENT INSURANCE OR WORKERS' COMPENSATION ☐ YES ☐ NO
  - COVERAGE OR CLAIM REQUIREMENTS ☐ YES ☐ NO
  - ERISA (EMPLOYEE RETIREMENT INCOME SECURITY ACT) ☐ YES ☐ NO
  - FEDERAL, STATE OR LOCAL HUMAN RIGHTS LAWS ☐ YES ☐ NO
  - FEDERAL INS (IMMIGRATION AND NATURALIZATION SERVICE) AND ALIENAGE LAWS, SHERMAN ACT OR OTHER FEDERAL ANTI-TRUST LAWS ☐ YES ☐ NO
  - A FEDERAL, STATE, OR LOCAL DETERMINATION OF A WILLFUL VIOLATION OF ANY PUBLIC WORKS OR LABOR LAW OR REGULATION? ☐ YES ☐ NO
  - AN OCCUPATIONAL SAFETY AND HEALTH ACT CITATION AND NOTIFICATION OF PENALTY CONTAINING A VIOLATION CLASSIFIED AS SERIOUS OR WILLFUL? ☐ YES ☐ NO

FOR EACH YES ANSWER TO QUESTIONS 3A-N, PROVIDE DETAILS ON ADDITIONAL SHEETS REGARDING THE FINDING, INCLUDING BUT NOT LIMITED TO CAUSE, CURRENT STATUS, RESOLUTION, ETC.

4. DURING THE PAST THREE (3) YEARS, HAS THE GRANTEE **FAILED** TO:

(a-1) FILE ANY RETURNS, INCLUDING, IF APPLICABLE, FEDERAL FORM 990, WITH ANY FEDERAL, STATE OR LOCAL GOVERNMENT ENTITY ? **YES      NO**

IF YES, IDENTIFY THE RETURN THAT WAS NOT FILED, THE TYPE OF FORM, THE YEAR(S) IN WHICH THE REQUIRED RETURN WAS NOT FILED, AND THE REASON WHY THE RETURN WAS NOT FILED: \_\_\_\_\_

(a-2) PAY ANY APPLICABLE FEDERAL, STATE, OR LOCAL GOVERNMENT TAXES? **YES      NO**

IF YES, IDENTIFY THE TAXING JURISDICTION, TYPE OF TAX, LIABILITY YEAR(S) AND TAX LIABILITY AMOUNT THE GRANTEE FAILED TO PAY AND THE CURRENT STATUS OF THE LIABILITY: \_\_\_\_\_

(b) FILE RETURNS OR PAY NEW YORK STATE UNEMPLOYMENT INSURANCE? **☐ YES    ☐ NO**

IF YES, INDICATE THE YEARS THE GRANTEE FAILED TO FILE/PAY THE INSURANCE AND THE CURRENT STATUS OF THE LIABILITY: \_\_\_\_\_

(c) FILE DOCUMENTATION REQUESTED BY ANY REGULATING ENTITY SET FORTH IN SECTION III, QUESTION 1 ABOVE, WITH THE ATTORNEY GENERAL OF THE STATE OF NEW YORK, OR WITH ANY OTHER LOCAL, STATE, OR FEDERAL ENTITY THAT HAS MADE A FORMAL REQUEST FOR INFORMATION? **☐ YES    ☐ NO**

IF YES, INDICATE THE YEARS THE GRANTEE FAILED TO FILE THE REQUESTED INFORMATION AND THE CURRENT STATUS OF THE MATTER: \_\_\_\_\_

5. HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE GRANTEE, RELATED ORGANIZATIONS, ENTITIES OR ITS AFFILIATES WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE GRANTEE, RELATED ORGANIZATIONS, ENTITIES OR ITS AFFILIATES, REGARDLESS OF THE DATE OF FILING? **☐ YES    ☐ NO**

IF YES, INDICATE IF THIS IS APPLICABLE TO THE SUBMITTING GRANTEE OR ONE OF ITS AFFILIATES:

IF IT IS AN AFFILIATE, RELATED ORGANIZATION OR ENTITY, INCLUDE THE AFFILIATE'S NAME AND FEIN: \_\_\_\_\_

PROVIDE THE COURT NAME, ADDRESS AND DOCKET NUMBER: \_\_\_\_\_

INDICATE IF THE PROCEEDINGS HAVE BEEN INITIATED, REMAIN PENDING OR HAVE BEEN CLOSED: \_\_\_\_\_

IF CLOSED, PROVIDE THE DATE CLOSED: \_\_\_\_\_

## CERTIFICATION

THE GRANTEE CERTIFIES THAT ALL FUNDS THAT WILL BE EXPENDED PURSUANT TO THE TERMS OF THE GDA TO BE ENTERED INTO BETWEEN DASNY AND THE GRANTEE ARE TO BE USED SOLELY AND DIRECTLY FOR THE PUBLIC PURPOSE OR PUBLIC PURPOSES DESCRIBED IN THE PRELIMINARY APPLICATION, PROJECT INFORMATION SHEET AND GDA. THE GRANTEE FURTHER CERTIFIES THAT ALL SUCH FUNDS WILL BE USED SOLELY IN THE MANNER DESCRIBED IN THE PRELIMINARY APPLICATION, PROJECT INFORMATION SHEET, AND GDA. THE GRANTEE FURTHER CERTIFIES THAT IT WILL UTILIZE THE REAL PROPERTY, EQUIPMENT, FURNISHINGS, AND OTHER CAPITAL COSTS PAID FOR WITH GRANT PROCEEDS UNTIL SUCH TIME AS THE GRANTEE REASONABLY DETERMINES THAT SUCH REAL PROPERTY, EQUIPMENT, FURNISHINGS AND OTHER CAPITAL COSTS ARE NO LONGER REASONABLY NECESSARY OR USEFUL TO FURTHER THE PUBLIC PURPOSE FOR WHICH THE GRANT WAS MADE.

THE UNDERSIGNED RECOGNIZES THAT THIS QUESTIONNAIRE IS SUBMITTED FOR THE EXPRESS PURPOSE OF INDUCING DASNY TO MAKE PAYMENT TO THE GRANTEE FOR SERVICES RENDERED BY THE UNDERSIGNED AND THAT DASNY MAY IN ITS DISCRETION, BY MEANS WHICH IT MAY CHOOSE, DETERMINE THE TRUTH AND ACCURACY OF ALL STATEMENTS MADE HEREIN. THE UNDERSIGNED FURTHER ACKNOWLEDGES THAT INTENTIONAL SUBMISSION OF FALSE OR MISLEADING INFORMATION MAY CONSTITUTE A FELONY UNDER PENAL LAW SECTION 210.40 OR A MISDEMEANOR UNDER PENAL LAW SECTION 210.35 OR SECTION 210.45, AND MAY ALSO BE PUNISHABLE BY A FINE OF UP TO \$10,000 OR IMPRISONMENT OF UP TO FIVE YEARS UNDER 18 U.S.C. SECTION 1001; AND STATES THAT THE INFORMATION SUBMITTED IN THIS QUESTIONNAIRE AND ANY ATTACHED PAGES IS TRUE, ACCURATE AND COMPLETE.

THE UNDERSIGNED ALSO CERTIFIES THAT S/HE HAS NOT ALTERED THE CONTENT OF THE QUESTIONS IN THE QUESTIONNAIRE IN ANY MANNER; HAS READ AND UNDERSTANDS ALL OF THE ITEMS CONTAINED IN THE QUESTIONNAIRE AND ANY ATTACHED PAGES; HAS SUPPLIED FULL AND COMPLETE RESPONSES TO EACH ITEM THEREIN TO THE BEST OF HIS/HER KNOWLEDGE, INFORMATION AND BELIEF; IS KNOWLEDGEABLE ABOUT THE SUBMITTING GRANTEE'S BUSINESS AND OPERATIONS; UNDERSTANDS THAT DASNY WILL RELY ON THE INFORMATION SUPPLIED IN THIS QUESTIONNAIRE WHEN ENTERING INTO A CONTRACT WITH THE GRANTEE; AND IS UNDER DUTY TO NOTIFY DASNY OF ANY MATERIAL CHANGES TO THE GRANTEE'S RESPONSES HEREIN UNTIL SUCH TIME AS THE GRANT PROCEEDS HAVE BEEN FULLY PAID OUT TO GRANTEE.

\_\_\_\_\_  
Signature of Authorized Officer

\_\_\_\_\_  
Signature of Chair of the Board of Grantee  
(or other Authorized Officer)

\_\_\_\_\_  
Printed Name of Authorized Officer

\_\_\_\_\_  
Print Name of Chair of the Board of Grantee  
(or other Authorized Officer)

\_\_\_\_\_  
Title of Authorized Officer

Sworn to before me this \_\_\_\_ day  
of \_\_\_\_\_, 201\_\_.

Sworn to before me this \_\_\_\_ day  
of \_\_\_\_\_, 201\_\_.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Notary Public



## **AFFIDAVIT OF NO CHANGE**

Note: If you have previously submitted a Grantee Questionnaire in the past six (6) months and there are no changes since your last submission, please sign and notarize this Affidavit of No Change Form and submit it along with your most recent copy of the previously submitted Grantee Questionnaire.

If you have never filled out a Grantee Questionnaire you do not have to complete this form.

### **DASNY**

GRANTEE:

\_\_\_\_\_  
The undersigned, being duly sworn, deposes and says:

1. I am an officer of \_\_\_\_\_  
\_\_\_\_\_  
(hereinafter the "Grantee"),  
which is currently entering in a Grant Disbursement Agreement with DASNY.
2. Grantee previously submitted a DASNY Grantee Questionnaire within the past six  
months notarized by the Grantee on \_\_\_\_\_ in connection with the  
\_\_\_\_\_  
\_\_\_\_\_  
(Grant Program) for  
(Project).
3. Attached is an accurate and true copy of such previously submitted DASNY Grantee  
Questionnaire.
4. I hereby certify that there has been no material change in the information pertaining to  
the Grantee Questionnaire:

\_\_\_\_\_  
NAME

\_\_\_\_\_  
TITLE

Sworn before me this

\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

# Request for Taxpayer Identification Number and Certification

► Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Give Form to the  
requester. Do not  
send to the IRS.

Print or type. See Specific Instructions on page 3.	<b>1</b> Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <b>Village of Hastings-on-Hudson</b>	
	<b>2</b> Business name/disregarded entity name, if different from above	
	<b>3</b> Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input checked="" type="checkbox"/> Other (see instructions) ►	<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
	<b>5</b> Address (number, street, and apt. or suite no.) See instructions. <b>7 Maple Ave.</b>	Requester's name and address (optional)
	<b>6</b> City, state, and ZIP code <b>Hastings-on-Hudson, NY 10706</b>	
<b>7</b> List account number(s) here (optional)		

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>									
				-				-	
<b>or</b>									
<b>Employer identification number</b>									
1	3		-	6	0	0	7	2	9
									7

## Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	<b>Signature of U.S. person ►</b>	<b>Date ►</b>
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## Backup Withholding

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the instructions for Part II for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships*, earlier.

## What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note: ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C corporation, or S corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation
• Individual	Individual/sole proprietor or single-member LLC
• Sole proprietorship, or	
• Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	
• LLC treated as a partnership for U.S. federal tax purposes,	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
• LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or	
• LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	
• Partnership	Partnership
• Trust/estate	Trust/estate

### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

## Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

## Line 6

Enter your city, state, and ZIP code.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at [www.SSA.gov](http://www.SSA.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/Businesses](http://www.irs.gov/Businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. Go to [www.irs.gov/Forms](http://www.irs.gov/Forms) to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to [www.irs.gov/OrderForms](http://www.irs.gov/OrderForms) to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.



**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
6. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

**\*Note:** The grantor also must provide a Form W-9 to trustee of trust.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

### Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at [spam@uce.gov](mailto:spam@uce.gov) or report them at [www.ftc.gov/complaint](http://www.ftc.gov/complaint). You can contact the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see [www.IdentityTheft.gov](http://www.IdentityTheft.gov) and Pub. 5027.

Visit [www.irs.gov/IdentityTheft](http://www.irs.gov/IdentityTheft) to learn more about identity theft and how to reduce your risk.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

# Forest Management Schedule

Hillside Woods & Park Management Plan Schedule of Operations for Forest Stands					
Date to be Applied:	Conservation Practice Name	Forest Stand	Acres/Units	Cost per Acre/Unit	Total Cost
2019 2020	Timber Stand Improvement - (Norway Maple Cull)	1 - comprehensive 2 - light thinning with consultant 3 - comprehensive 4 - comprehensive	18.5 21.9 16.8 30.8	\$350/acre \$150/acre \$350/acre \$350/acre	\$6,475.00 \$3,285.00 \$5,880.00 \$10,780.00
2019 2020 2021	Invasive Brush Management - Hand Tools, Woody Vegetation	1 - heavy 2 - light 3 - heavy 4 - mid	13 18.4 5.8 27.8	\$395/acre \$265/acre \$395/acre \$335/acre	\$5,135.00 \$4,876.00 \$2,291.00 \$9,313.00
2020	Invasive Brush Management - Mechanical, Trees and Woody Vegetation	1 2 3 4	5.5 3.5 11 3	\$660/acre \$660/acre \$660/acre \$660/acre	\$3,630.00 \$2,310.00 \$7,260.00 \$1,980.00
2019 2020 2021	Tree & Shrub Establishment - Individual Tree - Hand Plant with Tree Protection Fences or Tubes	1 2	270 225	\$12 each	\$3,240.00 \$2,700.00
2022 2023	Tree & Shrub Establishment - Hand Planting	2 3 4	180 810 535	\$8 each	\$1,440.00 \$6,480.00 \$4,280.00
2022 2023	Forb (Wildflower) and Fern Establishment - Hand Planting	2 3 4	770 860 895	\$4 each	\$3,080.00 \$3,440.00 \$3,580.00
2022 2023	Tree & Shrub Site Preparation - Hand Site Preparation	1 2 3 4	270 405 810 535	\$3 each	\$810.00 \$1,215.00 \$2,430.00 \$1,605.00
2022 2023	Mulching, Tree & Shrub	1 2 3 4	270 405 810 535	\$4 each	\$1,080.00 \$1,620.00 \$3,240.00 \$2,140.00
2019 2020 2021	Structures for Wildlife - Brush Pile (Small)	1 2 3 4	55.5 65.7 50.4 92.4	\$55 each	\$3,052.00 \$3,613.50 \$2,772.00 \$5,082.00
2021	Deer Exclosure Fencing	2 partial 3 4	9,500 LF	\$25/LF	\$237,500
Total Net Cost:					\$357,614.50



**GRANTEE CERTIFICATION**  
**Village of Hastings-on-Hudson**

Restoration and Improvements to Hillside Woods and Parks  
Project ID: #21565

WE HEREBY WARRANT, REPRESENT AND CERTIFY TO DASNY that:

- The Village of Hastings-on-Hudson has applied for a State and Municipal Facilities Program ("SAM") Grant in the amount of \$250,000. This Grant will be used for the Restoration and Improvements to Hillside Woods and Parks. We understand that the Grant funds may be used only for certain community improvement purposes as set forth in the enabling legislation and that the Grant Disbursement Agreement to be executed in connection with this Grant contains a provision that states that Grant funds may not be used to finance a program or project that will in any way promote or facilitate religious worship, instruction or proselytizing. We have been informed that this provision exists to ensure compliance with Federal and State law. Therefore, as Authorized Officers of the Village of Hastings-on-Hudson, we hereby certify the following in connection with the project to be financed by the Grant:
  - no religious purpose shall be advanced or promoted by the project or program funded by the Grant;
  - the project or program will provide no religious instruction or counseling, conduct no religious worship or services, engage in no religious proselytizing, and exert no other religious influence in the provision of services or the use of facilities or furnishings assisted in any way by public funds;
  - the project or program shall be open to all without regard to religion; and
  - the Grantee shall take affirmative steps to ensure that information is widely disseminated with respect to the following aspects of the project or program:
    - the project or program is publicly funded;
    - the project or program is open to all, regardless of religious affiliation; and
    - the project or program beneficiaries are not limited to any particular sect or group.
  - the Grantee shall exercise care to make sure the facilities and/or services to be supported in whole or in part by grant proceeds are available and accessible to all members of the public by ensuring project location(s) and/or service areas are in proximity to public transportation; sufficient parking; and by choosing project location(s) and/or service areas that do not restrict use to a certain subset of the population defined by religion;
- We understand that the State of New York, DASNY and other entities that may be involved in the Grant process are relying on the above information in making the determination whether to award a SAM Grant to the Village of Hastings-on-Hudson.
- We have the authority to submit this certification on behalf of the Village of Hastings-on-Hudson.
- By signing these documents, I certify that I am an authorized officer for the Grantee.

\_\_\_\_\_  
Authorized Officer Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

\_\_\_\_\_  
Authorized Officer Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title



**PROJECT CERTIFICATE OF THE  
Village of Hastings-on-Hudson  
State and Municipal Facilities Program (the "SAM")  
For the Restoration and Improvements to Hillside Woods and Parks  
(Project ID: 21565)**

I, the undersigned, an Authorized Officer of Village of Hastings-on-Hudson (the "Grantee"), DO  
HEREBY CERTIFY that:

- All contractors and vendors retained to perform services in connection with the Project shall be authorized to do business in the State of New York and shall possess and maintain all professional licenses and/or certifications required to perform the tasks undertaken in connection with the Project.
- To the extent that SAM Grant proceeds are used to reimburse the Grantee for the cost of any portion of the Project noted above, the Grantee certifies that no other external funding source, including but not limited to, State or Federal restructuring loans, State or Federal grants, or grants, loans, or other funding from any other public or private source (currently or within the last six (6) years), will be used for substantially the same project costs at the same location as described in the Application or Project Information Sheet provided to DASNY.
- If the Project includes removable equipment or furnishings including but not limited to, computer hardware and software, air conditioning units, lab equipment, office furniture and telephone systems, the Grantee will develop, implement and maintain an inventory system for tracking such removable equipment and furnishings.
- The Grantee acknowledges that Grant proceeds cannot be utilized to pay for:
  - Deposits advance payments, or progress payments until work is completed, or goods received by grantee;
  - to pay down long term debt;
  - internal labor costs;
  - rental or leased equipment;
  - stockpiled materials;
  - materials and/or services provided by another entity other than a licensed contractor or vendor.
- The Grantee will maintain accurate books and records through project completion/payout of the Grant as well as for six (6) years from the date the Project is completed and will make those books and records available to DASNY, its agents, officers and employees during the Grantee's business hours upon reasonable request.

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 20\_\_\_\_.

By signing these documents, I certify that I am an authorized officer for the Grantee.

Village of Hastings-on-Hudson

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title \_\_\_\_\_



G09594077



DED2

11



\*\*\* DO NOT REMOVE \*\*\*

WESTCHESTER COUNTY RECORDING AND ENDORSEMENT PAGE  
(THIS PAGE FORMS PART OF THE INSTRUMENT)

THE FOLLOWING INSTRUMENT WAS ENDORSED FOR THE RECORD AS FOLLOWS:

TYPE OF INSTRUMENT DED-DEED FEE PAGE 6 TOTAL PAGES 6  
(SEE CODES FOR DEFINITIONS)

STAT'Y CHARGE	<u>5.25</u>
REC'ING CHARGE	<u>18.00</u>
RECMGT FUND	<u>4.75</u>
EA 5217	<u>25.00</u>
TP-584	<u>6.00</u>
CROSS-REF.	<u>0.00</u>
MISC.	<u>          </u>

TOTAL PAID
59.00

MORTGE. DATE	<u>          </u>
MORTGE. AMT	<u>          </u>
EXEMPT	YES <u>      </u> NO <u>      </u>

LIBER: <u>10804</u>
PAGE : <u>49</u>

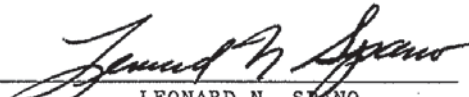
REC'D TAX ON ABOVE MTGE:	
BASIC	\$ <u>          </u>
ADDITIONAL	\$ <u>          </u>

THE PROPERTY IS SITUATED  
IN WESTCHESTER COUNTY,  
NEW YORK IN THE:  
TOWN OF GREENBURGH

SUBTOTAL	\$ <u>          </u>
SPECIAL	\$ <u>          </u>
TOTAL PAID	\$ <u>          </u>

\$ 0.00  
CONSIDERATIONSERIAL NO.             
DWELLING 1-6 OVERRECEIVED:  
TAX AMOUNT \$ 0.00  
TRANSFER TAX# 0009973

<u>      </u> DUAL TOWN
<u>      </u> DUAL COUNTY/STATE
<u>      </u> HELD
<u>      </u> NOT HELD

TITLE COMPANY NUMBER:           EXAMINED BY TDM1TERMINAL CTRL# 94077G095DATE RETURNED           00056800 01/18/94CPA/DE 59.00  
11:35I HEREBY CERTIFY THAT THE ABOVE  
INFORMATION FEES AND TAXES ARE  
CORRECT  
WITNESS MY HAND AND OFFICIAL SEAL
  
LEONARD N. SPANO  
WESTCHESTER COUNTY CLERK

9210-12604  
G7095  
6P  
F-11  
CONSULT YOUR LAWYER BEFORE SIGNING THIS INSTRUMENT—THIS INSTRUMENT SHOULD BE USED BY LAWYERS ONLY

THIS INDENTURE, made the 30<sup>th</sup> day of December, nineteen hundred and Ninety-Three  
BETWEEN Coachlight Properties of Hastings, Inc. a Delaware  
corporation, having an office at c/o Geneva Partners, 445 Park  
Avenue, New York, New York 10022

party of the first part, and the County of Westchester, a municipal  
corporation of the State of New York, with an address at  
148 Martine Avenue, Michaelian Office Building, White Plains,  
New York 10601, as to an undivided one-third interest,  
and the Village of Hastings-on-Hudson, a municipal corporation  
of the State of New York, with an address of 7 Maple Avenue,  
Hastings-on-Hudson, New York, as to an undivided two-thirds  
interest, tenants in common.

party of the second part,

WITNESSETH, that the party of the first part, in consideration of Ten-----

(\$10.00) dollars,

lawful money of the United States,

paid

by the party of the second part, does hereby grant and release unto the party of the second part, the heirs or  
successors and assigns of the party of the second part forever,

ALL that certain plot, piece or parcel of land, with the buildings and improvements thereon erected, situate,

lying and being in the Village of Hastings-on-Hudson, Town of Greenburgh,  
County of Westchester and State of New York which real property  
is referred to on the tax maps thereof as Section 11, Sheet 22,  
Lot P-13 and more particularly described in Exhibit A attached hereto  
and made a part hereof.

7/5  
SUBJECT TO COVENANTS + RESTRICTIONS OF RECORD.

Being and intended to be the same premises conveyed to the  
party of the first part by deed dated May 25, 1988 and  
recorded June 1, 1988 in Liber 9203, cp.235 in the Office of  
the Westchester County Clerk.

EXHIBIT A

CHICAGO TITLE INSURANCE COMPANY

SCHEDULE A DESCRIPTION

Title No.: 9310-01262

ALL that certain plot, piece or parcel of land, lying and being in the Village of Hastings on Hudson, Town of Greenburgh, County of Westchester and State of New York, bounded and described as follows;

BEGINNING at a point on the Westerly side of Saw Mill River Parkway where the southeasterly corner of lands now or formerly of Carvel intersects the westerly side of Saw Mill River Parkway;

RUNNING THENCE from said point or place of beginning along the westerly side of Saw Mill River Parkway the following 2 courses and distances:

a) South 37 degrees 20 minutes 10 seconds West 574.97 feet; b) South 33 degrees 45 minutes 10 seconds West 394.95 feet to a point;

THENCE North 84 degrees 58 minutes 10 seconds West 235.63 feet to a point;

THENCE North 75 degrees 30 minutes 10 seconds West 92.79 feet to a point;

THENCE South 84 degrees 32 minutes 00 seconds West 116.87 feet to a point;

THENCE North 76 degrees 05 minutes 10 seconds West 74.53 feet to a point;

THENCE North 77 degrees 22 minutes 20 seconds West 114.51 feet to a point;

THENCE South 88 degrees 36 minutes 40 seconds West 68.50 feet to a point;

THENCE North 85 degrees 40 minutes 00 seconds West 100.56 feet to a point;

THENCE North 82 degrees 49 minutes 50 seconds West 77.64 feet to a point;

THENCE North 80 degrees 41 minutes 20 seconds West 106.37 feet to a point;

THENCE North 82 degrees 04 minutes 40 seconds West 344.76 feet to a point;

THENCE North 82 degrees 49 minutes 40 seconds West 76.70 feet to a point;

THENCE North 76 degrees 07 minutes 30 seconds West 152.59 feet to a point;

THENCE North 69 degrees 38 minutes 40 seconds West 47.55 feet to a point;

THENCE North 73 degrees 32 minutes 40 seconds West 46.61 to lands now or formerly of Hill Side School;

THENCE along said lands the following 11 courses and distances:

a) North 27 degrees 29 minutes 30 seconds East 207.25 feet to a point;

b) North 13 degrees 25 minutes 30 seconds East 84.26 feet to a point;



CHICAGO TITLE INSURANCE COMPANY

SCHEDULE A DESCRIPTION

Title No.: 9310-01262

- c) North 08 degrees 59 minutes 30 seconds East 62.00 feet to a point;
- d) North 07 degrees 05 minutes 30 seconds East 101.13 feet to a point;
- e) North 15 degrees 20 minutes 30 seconds East 111.00 feet to a point;
- f) North 35 degrees 16 minutes 30 seconds East 335.25 feet to a point;
- g) North 20 degrees 37 minutes 00 seconds East 55.75 feet to a point;
- h) South 84 degrees 50 minutes 00 seconds East 14.50 feet to a point;
- i) North 20 degrees 47 minutes 00 seconds East 44.20 feet to a point;
- j) North 27 degrees 08 minutes 30 seconds East 84.50 feet to a point;
- k) North 29 degrees 02 minutes 30 seconds East 69.11 feet to a point;
- THENCE South 61 degrees 06 minutes 55 seconds East 650.56 feet to a point;
- THENCE North 33 degrees 14 minutes 18 seconds East 752.37 feet to the southerly line of lands lying in the Village of Dobbs Ferry;
- THENCE along said line of South 61 degrees 06 minutes 55 seconds East 772.93 feet to lands now or formerly of Carvel;
- THENCE along said lands now or formerly of Carvel the following 2 courses and distances:
  - a) South 53 degrees 40 minutes 10 seconds West 370.03 feet;
  - b) South 60 degrees 04 minutes 30 seconds East 410.59 feet to the westerly side of Saw Mill River Parkway, the point or place of BEGINNING.

**TOGETHER** with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof,

**TOGETHER** with the appurtenances and all the estate and rights of the party of the first part in and to said premises,

**TO HAVE AND TO HOLD** the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

This conveyance has been made with the unanimous consent in writing of all the shareholders of the party of the first part.

**AND** the party of the first part covenants that the party of the first part has not done or suffered anything whereby the said premises have been incumbered in any way whatever, except as aforesaid.

**AND** the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

**IN WITNESS WHEREOF**, the party of the first part has duly executed this deed the day and year first above written.

IN PRESENCE OF:



Coachlight Properties of Hastings,  
Inc.

By: \_\_\_\_\_

*Henry Suss*  
President

## STATE OF NEW YORK, COUNTY OF

On the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came

to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that executed the same.

SS:

## STATE OF NEW YORK, COUNTY OF

SS:

On the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came

to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that executed the same.

## STATE OF NEW YORK, COUNTY OF

NEW YORK

SS:

On the 30<sup>th</sup> day of DECEMBER 19 93, before me personally came HENRY GROSS to me known, who, being by me duly sworn, did depose and say that he resides at No. 445 PARK AVE, NY, NY.

that he is the PRESIDENT of Coachlight Properties of Hastings, Inc., the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the board of directors of said corporation, and that he signed his name thereto by like order.

*Elaine A. Barone*

ELAINE A. BARONE  
Notary Public, State of New York  
No. 41-4826254  
Qualified in Queens County  
Commission Expires December 31, 1994

## STATE OF NEW YORK, COUNTY OF

SS:

On the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came the subscribing witness to the foregoing instrument, with whom I am personally acquainted, who, being by me duly sworn, did depose and say that he resides at No. \_\_\_\_\_;

that he knows

to be the individual described in and who executed the foregoing instrument; that he, said subscribing witness, was present and saw execute the same; and that he, said witness, at the same time subscribed his name as witness thereto.

**Bargain and Sale Deed**  
WITH COVENANT AGAINST GRANTOR'S ACTS  
TITLE No. CT - 9360-01262A

Coachlight Properties of Hastings, Inc.

TO

The Village of Hastings-on-Hudson  
and the County of Westchester

SECTION 11  
BLOCK 22  
LOT P-13

COUNTY OR TOWN  
Village of Hastings-on-Hudson  
County of Westchester

## RETURN BY MAIL TO:

Brian Murphy, Esq.  
Maroney, Ponzini & Spencer  
14 North Broadway  
Tarrytown, NY 10591

Zip No. 10591

Reserve this space for use of Recording Office.

THIS INSTRUMENT IS EXEMPT FROM  
RECORDING OR FILING FEES PURSUANT  
TO LAW.

*Stewart E. Glass*  
Deputy Senior Assistant  
County Attorney



Mortgage and Sale Deed. With Covenant against Grantor.

# This Indenture,

Made the 23rd day of -October, nineteen hundred  
and sixty-four

**Between** VILLAGE OF HASTINGS-ON-HUDSON, Westchester County, N. Y., a  
municipal corporation having its office and principal place of  
business located in the Municipal Building, Maple Avenue, Hastings-on-  
Hudson, New York

party of the first part,

and THE NEW ROCHELLE WATER COMPANY, a New York corporation,  
having its office at 415 Huguenot Street, New Rochelle, New York

party of the second part

**Witnesseth**, that the party of the first part, in consideration of

FIFTEEN THOUSAND FIVE HUNDRED (\$15,500.00)

Dollars,

lawful money of the United States,

paid by the party of the second part

does hereby grant and release unto the party of the second part.

and assigns forever,

**All** that certain plot, piece or parcel of land, with the buildings thereon  
erected, situate, lying and being in the Village of Hastings on Hudson,  
Town of Greenburgh, County of Westchester and State of New York and which  
said piece or parcel of land is more particularly bounded and described as  
follows:

BEGINNING at a point, and which point of beginning is located in a  
general northeasterly direction from a point on the northeasterly side of  
Hillside Avenue, a distance of 75.38 feet northwesterly from the inter-  
section of the dividing line between Lots 23 and 24 as shown on a certain  
map entitled, "Final Map of Hudson Heights, Hastings on Hudson, Westchester  
Co., N.Y." made by Ward Carpenter & Co., Inc., Civil and Consulting Engi-  
neers, dated August, 1916 and filed in the Office of the County Clerk,  
Division of Land Records, formerly Register's Office of Westchester County,  
New York on September 28, 1916 as Map No. 2139;

thence running from said point on the northeasterly side of Hillside  
Avenue, the following courses and distances:

North 55° 06' 20" East 37.24 feet,

South 87° 54' 00" East, 160.00 feet,

North 55° 06' 20" East, 152.29 feet,

North 58° 01' 00" East, 183.75 feet, and

South 48° 30' 30" East, 82.59 feet to the said point of beginning;

NOV - 6 1964

USIR STAMPS  
ATTACHED

USIR STAMPS



thence from said point of beginning in a general northeasterly direction North 59° 34' 30" East, a distance of 83.48 feet to a point;  
 thence in a general southeasterly direction, South 30° 25' 30" East, a distance of 110.00 feet to a point; -  
 thence in a general southwesterly direction, South 59° 34' 30" West, a distance of 110.00 feet to a point;  
 thence in a general northwesterly direction North 30° 25' 30" West, a distance of 110.00 feet to a point;  
 thence in a general northeasterly direction, North 59° 34' 30" East, a distance of 26.52 feet to the point or place of beginning.

Together with an easement of right of way over that parcel of land bounded and described as follows:

BEGINNING at a point on the northeasterly side of Hillside Avenue, said point being located 140.13 feet northwesterly from the corner formed by the intersection of the northeasterly side of Hillside Avenue and the northerly side of Valley Place as shown on Map entitled, "Final Map of Hudson Heights, Hastings on Hudson, Westchester Co., N.Y.", filed in the Office of the Registrar of Westchester County on September 28, 1916 as Map No. 2139; thence northeasterly from said point of beginning, the following courses and distances:

North 55° 06' 20" East, 276.43 feet,  
 North 58° 01' 00" East, 199.19 feet, and  
 South 48° 30' 30" East, 104.05 feet to a point;  
 thence southwesterly South 59° 34' 30" West, a distance of 21.04 feet to a point;  
 thence northwesterly, North 48° 30' 30" West, a distance of 82.59 feet to a point;  
 thence southwesterly, South 58° 01' 00" West, a distance of 183.75 feet and South 55° 06' 20" West, a distance of 267.66 feet to a point on the northeasterly side of Hillside Avenue;  
 thence northwesterly along the northeasterly side of Hillside Avenue on a curve to the left having a radius of 151.62 feet and a length of 21.49 feet to the point or place of beginning.

Also, together with a perpetual easement and right of way for the passage of vehicles and personnel over the northerly line from the Macadam Road to the tank site, which easement is bounded and described as follows:

BEGINNING at a point in the northeasterly side of parcel first above described which point of beginning is distant South 30° 25' 30" East 4.41 feet from the northeasterly corner of said parcel first above described; running thence from said point of beginning the following courses and distances:

South 30° 25' 30" East along the northeasterly side of said parcel first above described 24.74 feet to a point;  
 running thence North 9° 52' 13" East 59.02 feet, North 3° 20' 16" East 46.56 feet, South 88° 00' 31" West 51.50 feet, South 67° 50' 30" West 34 feet, South 59° 43' 08" West 15.79 feet, and South 72° 50' 49" West 67.00 feet to the most northerly corner of the easement first described;  
 running thence along the northeasterly side of said easement first described on a course South 48° 30' 30" East 24 feet to a point;  
 running thence along the southerly and easterly sides of the easement being described herein the following courses and distances:  
 North 68° 06' 00" East 57.81 feet,  
 North 59° 43' 08" East 15.19 feet,  
 North 67° 50' 30" East 30.02 feet,  
 North 88° 00' 31" East 33.43 feet to a corner  
 South 3° 20' 16" West 32 feet, and  
 South 9° 52' 13" West 39.23 feet to the point and place of beginning.

\* and which includes the installation, operation, replacement and maintenance of water pipes and all appurtenances including power and telephone lines.

LIBER 6456 PAGE 211  
Together with the appurtenances and all the estate and rights of the part y of the first part in  
and to said premises.

To have and to hold the premises herein granted unto the part y of the second part,  
and assigns forever.

And the said Village

covenant that it has not done or suffered anything whereby the said premises have been  
incumbered in any way whatever.

The grantor in compliance with Section 18 of the Lien Law, covenants that the grantor will  
receive the consideration for this conveyance and will hold the right to receive such consideration as a  
trust fund to be applied first for the purpose of paying the cost of the improvement and that the grantor  
will apply the same first to the payment of the cost of the improvement before using any part of the  
total of the same for any other purpose.

In Witness Whereof, the part y of the first part has signed and sealed  
these presents the day and year first above written.

In presence of:



State of NEW YORK

ss:

County of WESTCHESTER

On the 23 day of October 19 64 before me came R. Sheldon  
Wagner

to me known, who, being by me duly sworn, did depose and say that he resides in 33 Windsor  
Road, Hastings-on-Hudson, N. Y.  
that he is the Mayor of the Village of Hastings-on-Hudson  
municipal  
the corporation described in, and which executed, the foregoing instrument; that he knows the seal of  
said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by  
order of the board of trustees of said corporation; and that he signed his  
name thereto by like order.

EUGENE P. RUSSELL, JR.  
Notary Public, State of New York  
No. 60-3405215  
Commission Expires March 30, 1965

The foregoing instrument was endorsed for record as follows: The property affected by this instrument is situate  
in the TOWN OF GREENBURGH  
County of Westchester, N. Y. A true copy of the original DEED

RECORDED NOV. 6, 1964 at 11:36 AM at request of THE T. G. CO.

FEE: \$ 7.00

No. 48849

EDWARD L. WARREN, County Clerk.



CONSULT YOUR LAWYER BEFORE SIGNING THIS INSTRUMENT—THIS INSTRUMENT SHOULD BE USED BY LAWYERS ONLY.

THIS INDENTURE, made the 7<sup>th</sup> day of August, nineteen hundred and sixty-one

BETWEEN

UNION FREE SCHOOL DISTRICT NO. 4, TOWN OF GREENBURGH,  
(WESTCHESTER COUNTY, NEW YORK), a municipal corporation  
organized under the laws of the State of New York hav-  
ing its principal place of business at Farragut Parkway,  
Hastings-on-Hudson, New York,

party of the first part, and INCORPORATED VILLAGE OF HASTINGS-ON-HUDSON,  
Westchester County, New York, a municipal corporation  
having its principal place of business at Municipal  
Building, Village of Hastings-on-Hudson, New York,

party of the second part,

WITNESSETH, that the party of the first part, in consideration of One Dollar (\$1.00)-----  
lawful money of the United States, and other good and valuable considera-  
tions paid by the party of the second part, and the conveyance ~~of~~  
by party of the second part to party of the first part of certain lands  
~~located in the Village of Hastings-on-Hudson, Westchester County, New York, and~~  
and west of Children's Village in the Village of Hastings-on-Hudson,  
~~the party of the second part does hereby grant and release unto the party of the first part~~  
Westchester County, N.Y. does hereby grant and release unto the party of  
~~the party of the second part does hereby grant and release unto the party of the first part~~  
the second part, the heirs or  
successors and assigns of the party of the second part forever,

ALL that certain plot, piece or parcel of land, ~~located in the Village of Hastings-on-Hudson, Westchester County, New York, and~~ situate,

lying and being in the Village of Hastings-on-Hudson, Town of Greenburgh,  
County of Westchester and State of New York, bounded and described as  
follows:

BEGINNING at a point on the easterly side of South Drive adjoining the  
southwest corner of a lot of land designated as Lot 27 in Block 21 on  
Map of River View Manor filed in the Office of the County Clerk, Divis-  
ion of Land Records, Westchester County, New York, on April 6, 1910 as  
Map No. 1899 said point of beginning being also at the northeast corner  
of Chauncey Lane; thence running along the easterly side of South Drive  
as extended southerly being also along the easterly end of Chauncey Lane  
south 40° 42' west 50 feet to the southeast corner of said Chauncey Lane;  
thence running along the southerly side of Chauncey Lane north 86° 5'  
West 5.49 feet to the easterly side of South Drive as extended southerly  
from the south side of Chauncey Lane; thence running along said easterly  
side of South Drive as so extended south 30° 40' 58" east 415.74 feet and  
south 56° 15' west 35.64 feet to a stake and land belonging to the Vil-  
lage of Hastings-on-Hudson; thence running along the northerly line of  
said land belonging to the Village of Hastings-on-Hudson the following  
courses and distances, south 11° 31' 5" east 6.60 feet; south 48° 51' 30"  
east 79.32 feet north 84° 6' 00" east 15.02 feet south 69° 52' 40" east  
132 feet, south 84° 7' 30" east 284.50 feet, south 53° 52' 30" east  
58.75 feet and north 84° 2' 30" east 139.57 feet to the westerly line of  
land formerly of David McFarland now belonging to the Village of Hastings-  
on-Hudson, thence running along the westerly line of said last mentioned  
land north 35° 59' 25" east 664.45 feet to the southeast corner of the  
parcel of land belonging to the Town of Greenburgh sometimes referred to  
as Chauncey Lane; thence running along the southerly line of said last  
mentioned land, north 54° 00' 35" west 50 feet to the southeast corner  
of said land shown on map of River View Manor filed April 6, 1910 as Map  
No. 1899; thence running along the southerly line of said last mentioned  
land north 86° 5' west 367.58 feet to the northeast corner of other land  
of the Town of Greenburgh at a point distant 10 feet easterly from the  
southwest corner of Lot No. 39, Block 20 on said map of River View Manor  
as measured along the southerly line of said Lot 39, thence running along

USIR STAMPS -  
 ATTACHED \$  
 OCT 25 1961

the easterly, southerly and westerly lines of said land belonging to the Town of Greenburgh south 3° 55' west 50 feet, north 86° 5' 00" west 422.15 feet and north 48° 42' east 50 feet to the southeast corner of Lot 37 in Block 21 on said map of River View Manor; thence running along the southerly line of said Lot 37 and along the southerly line of Lot 27 in Block 21 on said map north 86° 5' 00" west 220.03 feet to the point of beginning.

This deed is executed and delivered pursuant to authorization therefor given by the qualified electors of Union Free School District No. 4, Town of Greenburgh, (Westchester County, New York), at a special voting meeting of the electors of said School District duly held on June 5, 1961, pursuant to resolution of the Board of Education of said School District.

**TOGETHER** with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof,

**TOGETHER** with the appurtenances and all the estate and rights of the party of the first part in and to said premises,

**TO HAVE AND TO HOLD** the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

**AND** the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

**IN WITNESS WHEREOF**, the party of the first part has duly executed this deed the day and year first above written.

IN PRESENCE OF:

UNION FREE SCHOOL DISTRICT NO. 4,  
TOWN OF GREENBURGH, WESTCHESTER  
COUNTY, NEW YORK)

By: [Signature], President

SCHOOL DISTRICT NO. 4  
TOWN OF GREENBURGH  
WESTCHESTER COUNTY, NEW YORK  
ATTEST:  
[Signature]  
District Clerk

NOTARY PUBLIC  
 STATE OF NEW YORK  
 WESTCHESTER COUNTY

STATE OF NEW YORK, COUNTY OF Westchester

SS: STATE OF NEW YORK, COUNTY OF

531

On the 26 day of August, 1961; before me personally came Peter N. Barr to me known, who, being by me duly sworn, did depose and say that he resides at No. 205 Village Ave. Hastings-on-Hudson, N.Y. that he is the President of Union Free School District No. 4, Town of Greenburgh, (Westchester County, NY) the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the board of directors of said corporation, and that he signed his name thereto by like order.

On the 26 day of August, 1961, before me personally came the subscribing witness to the foregoing instrument, with whom I am personally acquainted, who, being by me duly sworn, did depose and say that he resides at No. that he knows described in and who executed the foregoing instrument; that he, said subscribing witness, was present and saw execute the same; and that he, said witness, at the same time subscribed his name as witness thereto.



Notary Public

ETHEL V. O'LEARY  
 NOTARY PUBLIC, State of New York  
 Appointed for Westchester County  
 Commission Expires March 30, 1963

The foregoing instrument was endorsed for record as follows: The property affected by this instrument is situate in the TOWN OF GREENBURGH County of Westchester, N. Y. A true copy of the original DEED

RECORDED OCT. 25, 1961 at 9 AM

at request of VILLAGE MANAGER  
 HASTINGS ON HUDSON, N.Y.

FEE: \$ 5.60.

No. 40011

EDWARD L. WARREN, County Clerk.





\*Q03088153\*

Standard N. Y. B. T. U. Form 8001

- Bargain and Sale Deed, without Covenant against Grantor's Acts-Individual or Corporation (Single Sheet)

LIBER 9203 PAGE 235

CONSULT YOUR LAWYER BEFORE SIGNING THIS INSTRUMENT—THIS INSTRUMENT SHOULD BE USED BY LAWYERS ONLY.

THIS INDENTURE, made the 25<sup>th</sup> day of May, nineteen hundred and eighty-eight BETWEEN THE CHILDREN'S VILLAGE, a New York not-for-profit corporation with offices at Dobbs Ferry, New York 10522

party of the first part, and COACHLIGHT PROPERTIES OF HASTINGS, INC. a Delaware corporation, having an office c/o Geneva Partners, 445 Park Avenue, New York, N.Y. 10022

party of the second part,

WITNESSETH, that the party of the first part, in consideration of ten dollars and other valuable consideration paid by the party of the second part, does hereby grant and release unto the party of the second part, the heirs or successors and assigns of the party of the second part forever,

ALL that certain plot, piece or parcel of land, with the buildings and improvements thereon erected, situate, lying and being in the Village of Hastings on Hudson, Town of Greenburgh, County of Westchester and State of New York, being more particularly bounded and described on Schedule "A" annexed hereto and made a part hereof.

\$20,000-

This transfer is made in compliance with §509 of the Not-For-Profit Corporation Law and has been authorized by a vote of two-thirds (2/3) of the Board of Directors.

TOGETHER with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof; TOGETHER with the appurtenances and all the estate and rights of the party of the first part in and to said premises; TO HAVE AND TO HOLD the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

Subject to the covenants and restrictions set forth on Schedule "B" annexed hereto and made a part hereof.

AND the party of the first part, in compliance with Section 13 of the Lien Law, covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose.

The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, the party of the first part has duly executed this deed the day and year first above written.

IN PRESENCE OF:

*Constance M. Grassi*



THE CHILDREN'S VILLAGE

By *John W. Priesing*  
John W. Priesing,  
President

### SCHEDULE A - DESCRIPTION

All that certain plot, place or parcel of land, lying and being in the Village of Hastings on Hudson, Town of Greenburgh, County of Westchester and State of New York, bounded and described as follows:

BEGINNING at a point on the Westerly side of Saw Mill River Parkway where the southeasterly corner of lands now or formerly of Carvel intersects the westerly side of Saw Mill River Parkway;

RUNNING THENCE from said point or place of beginning along the westerly side of Saw Mill River Parkway the following 2 courses and distances:

- a) South 37 degrees 20 minutes 10 seconds West 574.97 feet;

- b) South 33 degrees 45 minutes 10 seconds West 394.95 feet to a

point;

THENCE North 84 degrees 58 minutes 10 seconds West 235.63 feet to a

**point:**

THENCE North 75 degrees 30 minutes 10 seconds West 92.79 feet to a

point:

THENCE South 84 d.grees 32 minutes 00 seconds West 116.87 feet to a

**point:**

THENCE North 76 degrees 05 minutes 10 seconds West 74.53 feet to a

**point:**

THENCE North 77 degrees 22 minutes 20 seconds West 114.51 feet to a

**point:**

THENCE South 88 degrees 36 minutes 40 seconds West 68.50 feet to a

**point:**

THENCE North 85 degrees 40 minutes 00 seconds West 100.56 feet to a

**point:**

THENCE North 82 degrees 49 minutes 50 seconds West 77.64 feet to a

point;

THENCE North 80 degrees 41 minutes 20 seconds West 106.37 feet to a

point;

THENCE North 82 degrees 04 minutes 40 seconds West 344.76 feet to a

point;

THENCE North 82 degrees 49 minutes 40 seconds West 76.60 feet to a

point;

THENCE North 76 degrees 07 minutes 30 seconds West 152.59 feet to a

point;

TRINCE North 69 degrees 38 minutes 40 seconds West 47.55 feet to a

point;

continued...

**DESCRIPTION**

**Poor Copy At Time of Recording**



## SCHEDULE A - DESCRIPTION

THENCE North 73 degrees 32 minutes 40 seconds West 46.61 feet to lands now or formerly of Hill Side School;

THENCE along said lands the following 11 courses and distances:

- a) North 27 degrees 29 minutes 30 seconds East 207.25 feet to a point;
- b) North 13 degrees 25 minutes 30 seconds East 84.26 feet to a point;
- c) North 08 degrees 59 minutes 30 seconds East 62.00 feet to a point;
- d) North 07 degrees 05 minutes 30 seconds East 101.13 feet to a point;
- e) North 15 degrees 20 minutes 30 seconds East 111.00 feet to a point;
- f) North 35 degrees 16 minutes 30 seconds East 335.25 feet to a point;
- g) North 20 degrees 37 minutes 00 seconds East 55.75 feet to a point;
- h) South 84 degrees 50 minutes 00 seconds East 14.50 feet to a point;
- i) North 20 degrees 47 minutes 00 seconds East 44.20 feet to a point;
- j) North 27 degrees 08 minutes 30 seconds East 84.50 feet to a point;
- k) North 29 degrees 02 minutes 30 seconds East 69.11 feet to a point;

THENCE South 61 degrees 06 minutes 55 seconds East 650.56 feet to a point;

THENCE North 33 degrees 14 minutes 18 seconds East 752.37 feet to the southerly line of lands lying in the Village of Dobbs Ferry;

THENCE along said line South 61 degrees 06 minutes 55 seconds East 772.93 feet to lands now or formerly of Carvel;

THENCE along said lands now or formerly of Carvel the following 2 courses and distances:

- a) South 53 degrees 40 minutes 10 seconds West 370.03 feet;
- b) South 60 degrees 04 minutes 30 seconds East 410.59 feet to the westerly side of Saw Mill River Parkway, the point or place of BEGINNING.

## DESCRIPTION

SCHEDULE B

Buffer Zone Covenant

And the parties hereto further covenant and agree as follows:

1. A portion of the premises being conveyed by this Deed is referred to as the "Buffer Zone".

2. The term "Buffer Zone" means that portion of the premises described as follows:

From the intersection of the easterly boundary line of Chauncy Lane and the northerly boundary line of property shown on map entitled "Spring Hill Development" filed in the Westchester County Land Records Office as R.O. Map No. 3037; proceed along the northerly and easterly boundary lines of the said Spring Hill Development the following courses and distances;

S. 88° 36' 30" E., 1083.15 ft.;

S. 27° 32' 30" W., 428.00 ft.;

S. 24° 50' 30" W., 151.07;

S. 26° 55' 30" W., 83.50 ft.; and

S. 29° 02' 30" W., 42.99 ft. to the point or place of BEGINNING, said point or place of beginning also being the southwest corner of Parcel 1 as shown on map entitled

"Survey of Property of Children's Village in the Village of Hastings-on-Hudson Showing Parcel To Be Sold" prepared by Gabriel E. Senor, P.E., L.S. and dated December 22, 1987 and revised April 28, 1988; thence proceed southeasterly along the southwesterly boundary of Parcel 1, S. 61° 06' 55" E., 650.56 ft. to a point marking the southeasterly corner of Parcel 1; thence proceed northeasterly along the southeasterly boundary of Parcel 1 N. 33° 14' 18" E., 752.37 ft. to a point in the boundary line between the Village of Hastings-on-Hudson and the Village of Dobbs Ferry, which point also marks the northeasterly corner of Parcel 1; thence proceed southeasterly along the boundary line between the Village of Hastings-on-Hudson and the Village of Dobbs Ferry S. 61° 06' 55" E., 40.12 ft.; thence proceed southwesterly and northwesterly through Parcel 2 as shown on the aforesaid map entitled "Survey of Property of Children's Village in the Village of Hastings-on-Hudson Showing Parcel to be Sold" S. 33° 14' 18" W., 812.34 ft. and N. 61° 06' 55" W., 688.28 ft. to a point in the northwesterly boundary of Parcel 2; thence proceed northeasterly along the northwesterly boundary of Parcel 2, N. 29° 02' 30" E., 60.00 ft. to the point or place of BEGINNING.

Poor Copy At Time of Recording

3. The Buffer Zone shall be forever kept in its natural state as existing on the date of this deed (it being understood that this covenant is an agreement not to build in the Buffer Zone or to take any other action with respect to the Buffer Zone which would interfere with its natural state and is not an agreement to take any affirmative action with respect to the Buffer Zone), and, if the party of the second part fails to so keep the Buffer Zone in such state, the Buffer Zone shall revert to the party of the first part, which shall keep it in such state.

4. This covenant shall run with the Buffer Zone.

5. The parties hereto agree that the remedies at law, with respect to the breach of this covenant, are not, and will not be, adequate and that the violation of this covenant may be specifically enjoined by a court of appropriate jurisdiction.

STATE OF NEW YORK, COUNTY OF NEW YORK SS:  
On the 25 day of MAY 1988, before me  
personally came

to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged that  
executed the same.

*Elizabeth A. Weber*  
ELIZABETH A. WEBER  
Notary Public, State of New York  
No. 414516540  
Qualified in Queens County  
City Filed in New York County  
Commission Expires February 28, 1989

STATE OF NEW YORK, COUNTY OF NEW YORK SS:  
On the 19 day of MAY, before me  
personally came

to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged that  
executed the same.

STATE OF NEW YORK, COUNTY OF WESTCHESTER SS:

On the 25<sup>th</sup> day of MAY 1988, before me  
personally came JOHN W. PRIESING.

to me known, who, being by me duly sworn, did depose and  
say that he resides at No. 44 AVON ROAD,  
BROOKVILLE, NY  
that he is the PRESIDENT  
of THE CHILDREN'S VILLAGE

, the corporation described  
in and which executed the foregoing instrument; that he  
knows the seal of said corporation; that the seal affixed  
to said instrument is such corporate seal; that it was so  
affixed by order of the board of directors of said corpora-  
tion, and that he signed his name thereto by like order.

STATE OF NEW YORK, COUNTY OF WESTCHESTER SS:  
On the 19 day of MAY, before me  
personally came

the subscribing witness to the foregoing instrument, with  
whom I am personally acquainted, who, being by me duly  
sworn, did depose and say that he resides at No.

that he knows

to be the individual  
described in and who executed the foregoing instrument;  
that he, said subscribing witness, was present and saw  
execute the same; and that he, said witness,  
at the same time subscribed his name as witness thereto.

My commission expires  
Nov. 30, 1989  
No. 4689931  
County of Rockland.

*Margaret K. Holihan*  
Notary Public  
Margaret K. Holihan

### Bargain and Sale Deed

WITHOUT COVENANT AGAINST GRANTOR'S ACTS

TITLE NO. 8710-02346

THE CHILDREN'S VILLAGE

TO

COACHLIGHT PROPERTIES OF  
HASTINGS, INC.

SHEET 22

BLOCK

LOT P. 7

TOWN of GREENBURGH

WESTCHESTER COUNTY

RETURN BY MAIL TO:

STEVEN P. KESSLER ESP,  
KESSLER & KEMPER  
TWO DAG HAMMARSHJOLD PLAZA  
NEW YORK, NY

Zip No. 10017

RECORDED BY  
CHICAGO TITLE  
INSURANCE COMPANY

RETURN TO

RESERVE THIS SPACE FOR USE OF RECORDING OFFICE





WESTCHESTER COUNTY RECORDING AND ENDORSEMENT PAGE  
(THIS PAGE FORMS PART OF THE INSTRUMENT)

THE FOREGOING INSTRUMENT WAS ENDORSED FOR THE RECORD AS FOLLOWS:

TYPE OF INSTRUMENT DED  
(SEE CODES FOR DEFINITIONS)

THE PROPERTY IS SITUATED IN  
WESTCHESTER COUNTY, N.Y. IN

- THE
- 02 TOWN OF BEDFORD
  - 06 TOWN OF CORTLANDT
  - 09 TOWN OF EASTCHESTER
  - 11 TOWN OF GREENBURGH
  - 12 TOWN OF HARRISON
  - 16 TOWN OF LEWISBORO
  - 17 TOWN OF MAMARONECK
  - 19 TOWN OF MT. KISCO
  - 20 TOWN OF MT. PLEASANT
  - 21 CITY OF MT. VERNON
  - 22 TOWN OF NEW CASTLE
  - 23 CITY OF NEW ROCHELLE
  - 24 TOWN OF NORTH CASTLE
  - 26 TOWN OF NORTH SALEM
  - 28 TOWN OF OSSINING
  - 30 CITY OF PEEKSKILL
  - 31 TOWN OF PELHAM
  - 35 TOWN OF POUND RIDGE
  - 36 CITY OF RYE
  - 37 TOWN OF RYE
  - 38 TOWN OF SCARSDALE
  - 39 TOWN OF SOMERS
  - 42 CITY OF WHITE PLAINS
  - 43 CITY OF YONKERS
  - 44 TOWN OF YORKTOWN

LIBER 9203  
PAGE 235

STAT'Y CHARGE 5-

REC'ING CHARGE 21-

FILING CHARGE 1-

CROSS REFERENCE

CERT/RECEIPT

TOTAL

27-

\$ 5,000.00 CONSIDERATION

RECEIVED

\$ 30,000.00

JUN - 1 1988

REAL ESTATE  
TRANSFER TAX  
WESTCHESTER COUNTY

MORTG. DATE

MORTG. AMOUNT

EXEMPT YES NO  
REC'D TAX ON ABOVE MTGE:

BASIC \$

ADDTL \$

SUBTOTAL \$

SPECIAL \$

TOTAL \$

SERIAL No.

DWELLING:

☐ 1-5 UNITS  
☐ OVER 6 UNITS

ANDREW J. SPANO  
WESTCHESTER COUNTY CLERK

ADDITIONAL COMMENTS

TERMINAL No.

DATE RETURNED

881539030

EXAMINED BY [Signature]  
WITNESS MY HAND AND OFFICIAL SEAL

ANDREW J. SPANO  
WESTCHESTER COUNTY CLERK

THE RECORDING DATE OF THIS INSTRUMENT AS INDICATED ABOVE IS THE OFFICIAL DATE ON WHICH  
THE WESTCHESTER COUNTY CLERK RECEIVED THIS INSTRUMENT.  
QUESTIONS REGARDING DELAYS PRIOR TO THIS DATE SHOULD BE ADDRESSED TO YOUR  
REPRESENTATIVE OR ATTORNEY.

000001B000 06/01/88CPA/DE 27.00  
06:39

RECEIVED

88 JUN - 1 AM 7:41

ANDREW J. SPANO  
WESTCHESTER COUNTY CLERK

RECORD AND RETURN